

Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15 Summary

TABLE A

COUNTY: San Francisco

DATE: 2/17/2016

Fiscal Year 2014-15		(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(I)	(J)	(K)
		Community Services and Supports	Prevention and Early Intervention	Innovation	Workforce Education and Training	Capital Facilities and Technological Needs	TTACB	WET Regional Partnerships	PEI Statewide Projects Funds	Unencumbered Housing Funds	Prudent Reserve	Total-All Components
1 Unspent Funds Available From Prior Fiscal Years¹												
a	Local Prudent Reserve										\$1,005,681	\$1,005,681
b	FY 2006-07 Funds											\$0
c	FY 2007-08 Funds											\$0
d	FY 2008-09 Funds											\$0
e	FY 2009-10 Funds											\$0
f	FY 2010-11 Funds					\$2,327,235						\$2,327,235
g	FY 2011-12 Funds			\$1,454,978		\$68,945						\$1,523,923
h	FY 2012-13 Funds	\$2,973		\$1,475,948								\$1,478,921
i	FY 2013-14 Funds	\$14,684,715	\$2,258,377	\$1,147,231								\$18,090,323
j	Cumulative Interest	\$173,362	\$45,876	\$54,378		\$41,152						\$314,768
k	TOTAL	\$14,861,050	\$2,304,253	\$4,132,535	\$0	\$2,437,332	\$0	\$0	\$0	\$0	\$1,005,681	\$24,740,851
2 MHSA Funds Revenue in FY 2014-15²												
a	Transfer of funds from the Local Prudent Reserve										\$0	\$0
b	FY 2014-15 MHSA Revenue Received	\$24,409,077	\$6,102,270	\$1,605,860								\$32,117,207
c	FY 2014-15 Interest Earned on MHSA Funds	\$108,142	\$11,996	\$21,759		\$7,113					\$5,491	\$154,501
d	TOTAL	\$24,517,219	\$6,114,266	\$1,627,619	\$0	\$7,113	\$0	\$0	\$0	\$0	\$5,491	\$32,271,708
3 Expenditure and Funding Sources for FY 2014-15³												
A MHSA Funds												
a	FY 2006-07 MHSA Funds											\$0
b	FY 2007-08 MHSA Funds											\$0
c	FY 2008-09 MHSA Funds											\$0
d	FY 2009-10 MHSA Funds											\$0
e	FY 2010-11 MHSA Funds					\$1,134,544						\$1,134,544
f	FY 2011-12 MHSA Funds			\$1,454,978								\$1,454,978
g	FY 2012-13 MHSA Funds	\$2,973		\$298,331								\$301,304
h	FY 2013-14 MHSA Funds	\$14,684,715	\$2,258,377									\$16,943,092
i	FY 2014-15 MHSA Funds	\$2,361,467	\$4,158,446		\$2,315,273							\$8,835,186
MHSA Net Expenditures Subtotal for FY 2014-15		\$17,049,155	\$6,416,823	\$1,753,309	\$2,315,273	\$1,134,544	\$0	\$0	\$0	\$0	\$0	\$28,669,104
j	Interest											\$0
B Other Funds												
a	1991 Realignment	\$2,717,562										\$2,717,562
b	Behavioral Health Subaccount	\$3,956										\$3,956
c	Other	\$5,114,175	\$4,717,976	\$1,856	\$643,856							\$10,477,863
d	TOTAL MHSA and Other Funds	\$24,884,848	\$11,134,799	\$1,755,165	\$2,959,129	\$1,134,544	\$0	\$0	\$0	\$0	\$0	\$41,868,485
e	Total Program Expenditures	\$24,884,848	\$11,134,799	\$1,755,165	\$2,959,129	\$1,134,544	\$0	\$0	\$0	\$0	\$0	\$41,868,485

NOTE TO COUNTY: Total Program Expenditures, 3(d), MUST match Total Expenditure Funding Sources, 3(e). If ERROR, recheck and correct.

PEI Statewide Funds assigned to CalMHSA? (Y/N)	N
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Fiscal Year 2014-15	(A) Community Services and Supports	(B) Prevention and Early Intervention	(C) Innovation	(D) Workforce Education and Training	(E) Capital Facilities and Technological Needs	(F) TTACB	(G) WET Regional Partnerships	(H) PEI Statewide Projects Funds	(I) Unencumbered Housing Funds	(J) Prudent Reserve	(K) Total-All Components
4 Transfers to Prudent Reserve, WET, CFTN⁴											
a FY 2012-13	\$0										\$0
b FY 2013-14	\$0										\$0
c FY 2014-15	-\$2,315,273			\$2,315,273							\$0
5 Adjustments⁵											
a Local Prudent Reserve											\$0
b FY 2006-07 Funds											\$0
c FY 2007-08 Funds											\$0
d FY 2008-09 Funds											\$0
e FY 2009-10 Funds											\$0
f FY 2010-11 Funds											\$0
g FY 2011-12 Funds											\$0
h FY 2012-13 Funds											\$0
i FY 2013-14 Funds											\$0
j FY 2014-15 Funds		\$107,340									\$107,340
k Interest											\$0
l TOTAL	\$0	\$107,340	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$107,340
6 Unspent Funds in the Local MHS Fund⁶											
a Local Prudent Reserve Balance										\$1,011,172	\$1,011,172
b FY 2006-07 Funds				\$0							\$0
c FY 2007-08 Funds				\$0	\$0						\$0
d FY 2008-09 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
e FY 2009-10 Funds	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0			\$0
f FY 2010-11 Funds	\$0	\$0	\$0	\$0	\$1,192,691	\$0	\$0	\$0			\$1,192,691
g FY 2011-12 Funds	\$0	\$0	\$0	\$0	\$68,945	\$0	\$0	\$0			\$68,945
h FY 2012-13 Funds	\$0	\$0	\$1,177,617	\$0	\$0						\$1,177,617
i FY 2013-14 Funds	\$0	\$0	\$1,147,231	\$0	\$0						\$1,147,231
j FY 2014-15 Funds	\$19,732,337	\$2,051,164	\$1,605,860	\$0	\$0		\$0		\$0		\$23,389,361
k Interest	\$281,504	\$57,872	\$76,137	\$0	\$48,265	\$0	\$0	\$0	\$0		\$463,778
l TOTAL	\$20,013,841	\$2,109,036	\$4,006,845	\$0	\$1,309,901	\$0	\$0	\$0	\$0	\$1,011,172	\$28,450,795

Estimated FFP Revenue Generated In FY 2014-15	Amount
Federal Financial Participation (FFP)	\$3,004,539

RER Contact Person	
Name	Marlo Simmons
Title	MHSA Director
Phone	(415) 255-3915
Email	Marlo.Simmons@sfdph.org

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Community Services and Supports (CSS) Summary**

County: **San Francisco** Date: **2/17/2016**

Community Services and Supports Component	Total (Gross) Mental Health Expenditures
FSP Programs	
1 CSS Full Service Partnership 2. CYF (6-18)	\$1,115,559
2 CSS Full Service Partnership 3. TAY (18-24)	\$896,567
3 CSS Full Service Partnership 4. Adults (18-59)	\$9,251,412
4 CSS Full Service Partnership 5. Older Adults (60+)	\$812,974
5 CSS FSP Permanent Housing (capital units and master lease)	\$597,011
6 FSP clients served by Peer-to-Peer Supports: Clinic and Co	\$1,359,452
7 FSP clients served by Vocational Services (30% FSP)	\$149,643
8 FSP clients served by Emergency Stabilization Housing (60	\$182,487
9 FSP clients served by Housing Placement and Supportive S	\$39,856
10 FSP clients served by ROUTZ TAY Transitional Housing (60	\$553,705
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Subtotal FSP Programs	\$14,958,667
Non-FSP Programs	
1 CSS Other Non-FSP 1. Behavioral Health Access Center	\$717,535
2 CSS Other Non-FSP 2. Prevention and Recovery in Early P	\$1,186,195
3 CSS Other Non-FSP 3. Trauma Recovery	\$430,177
4 CSS Other Non-FSP 4. Integration of Behavioral Health and	\$990,276
5 CSS Other Non-FSP 5. Integration of Behavioral Health Intc	\$1,886,744
6 CSS Other Non-FSP 6. Dual Diagnosis Residential Treatme	\$63,686
7 CSS Other Non-FSP 7. Peer-to-Peer Supports: Clinic and C	\$1,355,758
8 CSS Other Non-FSP 8. Vocational Services (30% FSP)	\$182,896
9 CSS Other Non-FSP 9. Emergency Stabilization Housing (5	\$121,658
10 CSS Other Non-FSP 10. Housing Placement and Supportive	\$92,997
11 CSS Other Non-FSP 11. ROUTZ TAY Transitional Housing	\$369,137
12 CSS Other Non-FSP 12. Expanding Outpatient MH Clinic C	\$271,785
13	
14	
15	
Subtotal Non-FSP Programs	\$7,668,844
Total FSP and Non-FSP Programs	\$22,627,510
CSS Evaluation	\$422,228
CSS Administration	\$1,835,110
CSS MHA Housing Program Assigned Funds	
Total CSS Expenditures	\$24,884,848

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Prevention and Early Intervention (PEI) Summary**

County: San Francisco

Date:

2/17/2016

Prevention and Early Intervention Component	(A) Total (Gross) Mental Health Expenditures
PEI Programs-Prevention	
1 PEI 2. School-Based Mental Health Pomotion (K-12)	\$618,083
2 PEI 4. Population Focused Mental Health Promotion and Early Intervention	\$2,450,465
3 PEI 5. Mental Health Consultation and Capacity Building	\$2,966,883
4 PEI 6. Comprehensive Crisis Services	\$63,253
5 PEI 7. CalMHSA Statewide Programs	\$100,000
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Subtotal PEI Programs-Prevention	\$6,198,684
PEI Programs-Early Intervention	
1 PEI 2. School-Based Mental Health Pomotion (K-12)	\$612,138
2 PEI 4. Population Focused Mental Health Promotion and Early Intervention	\$2,450,465
3 PEI 5. Mental Health Consultation and Capacity Building	\$988,961
4 PEI 6. Comprehensive Crisis Services	\$544,390
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Subtotal PEI Programs-Prevention	\$4,595,954
PEI Programs-Other	
1 PEI 1. Stigma Reduction	\$190,338
2	
3	
Subtotal PEI Programs-Other	\$190,338
Subtotal PEI Programs-Prevention & Early Intervention and Other	\$10,984,976
PEI Evaluation	\$0
PEI Administration	\$149,823
Total PEI Expenditures	\$11,134,799

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Innovation (INN) Summary**

County: San Francisco Date: 2/17/2016

Innovation Component	(A) Total (Gross) Mental Health Expenditures
Innovation Programs	
1 INN 11. WAIST Nutrition Project	\$277,338
2 INN 12. Building Bridges Clinic/School of Linkin	\$244,396
3 INN 14. First Impressions	\$319,356
4 INN 15. Building a Peer-to-Peer Support Netwo	\$143,254
5 INN 16. Building a Peer-to-Peer Support Netwo	\$423,949
6	\$0
7	\$0
8	\$0
9	\$0
10	\$0
11	\$0
12	\$0
13	\$0
14	\$0
15	\$0
16	\$0
17	\$0
18	\$0
19	\$0
20	\$0
21	\$0
22	\$0
23	\$0
24	\$0
25	\$0
Subtotal	\$1,408,293
Innovation Evaluation	\$144,209
Innovation Administration	\$202,663
Total Innovation Expenditures	\$1,755,165

**Annual Mental Health Services Act Revenue and Expenditure Report for
Fiscal Year 2014-15
Workforce Education and Training (WET) Summary**

County: San Francisco **Date:** 2/17/2016

Workforce Education and Training Component	(A) Total (Gross) Mental Health Expenditures
WET Funding Category	
Workforce Staffing Support	
Training and Technical Assistance	\$1,612,042
Mental Health Career Pathways Programs	\$714,020
Residency and Internship Programs	\$458,035
Financial Incentive Programs	
Total WET Programs	\$2,784,097
WET Administration	\$175,032
Total WET Expenditures	\$2,959,129

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Capital Facilities/Technological Needs (CF/TN) Summary**

County: San Francisco **Date:** 2/17/2016

Capital Facility/Technological Needs Projects	(A) Total (Gross) Mental Health Expenditures
Capital Facility Projects	
1 Cap 1. Silver Avenue FHC/South East Child & F	\$10,769
2 Cap 2. Redwood Center Renovation	\$157
3 Cap 3. Sunset Mental Health	\$54,532
4 Cap 4. IHHC at Central YMCA (Tom Waddell)	\$12,667
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Total CF Projects	\$78,124
Capital Facility Administration	
Total Capital Facility Expenditures	\$78,124
Technological Needs Projects	
1 IT 1. Consumer Portal	\$110,165
2 IT 2. Vocational IT	\$577,581
3 IT 3. System Enhancements	\$179,401
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Total TN Projects	\$867,147
Technological Needs Administration	\$189,273
Total Technological Needs Expenditures	\$1,056,420
Total CFTN Expenditures	\$1,134,544

**Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Other MHSA Funds Summary**

County: San Francisco **Date:** 2/17/2016

	(A) Total (Gross) Expenditures
Training, Technical Assistance and Capacity Building	
WET Regional Partnerships	
PEI Statewide Projects	

Annual Mental Health Services Act Revenue and Expenditure Report
Fiscal Year 2014-15
Unencumbered Housing Funds Summary

County: San Francisco **Date:** 2/17/2016

	(A) Total (Gross) Expenditures
Unencumbered Housing Funds	

**Annual Mental Health Services Act Revenue and Expenditure Report for
Year 2014-15
Adjustments Summary**

Fiscal

County: _____
Date: 2/17/2016

FY	Amount	Reason For Adjustment
09-10	\$107,340	FY 09-10 cost report settlement for Nativa American Health Center and was not included in the prior year RER.
TOTAL	\$107,340	
	\$107,340	

NOTE TO COUNTY: Total Adjustments recorded in the Adjustments Summary worksheet **MUST** match Total Adjustments recorded on the RER Summary Worksheet. If **ERROR**, recheck and correct.

**Annual Mental Health Services Act Revenue and Expenditure Report
FY 2014-15**

END NOTES:

- ¹ Total unspent funds from prior fiscal years MUST match the Total Unspent Funds in the Local MHS Fund from prior year RER.
- ² DHCS will utilize the allocation report provided by the SCO and counties should utilize the same report when determining the total State MHSA Fund revenue to be reported on the FY 2014-15 RER. The report is available at:
http://www.sco.ca.gov/ard_payments_mentalhealthservicefund.html
- ³ Expenditure funding sources for each component must equal the total program expenditures as reported on the Component Summary Worksheets.
- ⁴ WIC Section 5892(b) permits a County to use up to 20 percent of the average amount of funds allocated to the county for the previous five years to fund technological needs and capital facilities, human resource needs, and a prudent reserve. The amount of funds transferred from CSS will be reported in the CSS column as a negative amount. The funds transferred into WET, CFTN, or Prudent Reserve should be reflected as a positive amount. For each year reported, the amount transferred from CSS should equal zero when added to the funds transferred into WET, CFTN, or Prudent Reserve.
- ⁵ Payments from the MHSA Fund should be reflected in the Adjustments section as a negative amount. Receipts into the MHSA Fund should be reflected in the Adjustments section as a positive amount.
- ⁶ Total Unspent funds in the Local MHS Fund will auto populate for each Fiscal Year.
- ⁷ The FFP amount represents the estimated FFP revenue generated in FY 2014-15 and attributable to MHSA funds.

MHSA COUNTY FISCAL ACCOUNTABILITY CERTIFICATION¹

County/City: San Francisco

- Three-Year Program and Expenditure Plan
- Annual Update
- Annual Revenue and Expenditure Report

Local Mental Health Director	County Auditor-Controller / City Financial Officer
Name: <u>Jo Robinson, MFT</u>	Name: <u>Ben Rosenfield</u>
Telephone Number: <u>(415) 255-3440</u>	Telephone Number: <u>(415) 551-7500</u>
E-mail: <u>Jo.Robinson@sfph.org</u>	E-mail: <u>Ben.Rosenfield@sfgov.org</u>
Local Mental Health Mailing Address: <u>1380 Howard Street, 5th Floor, San Francisco, CA 94103</u>	

I hereby certify that the Three-Year Program and Expenditure Plan, Annual Update or Annual Revenue and Expenditure Report is true and correct and that the County has complied with all fiscal accountability requirements as required by law or as directed by the State Department of Health Care Services and the Mental Health Services Oversight and Accountability Commission, and that all expenditures are consistent with the requirements of the Mental Health Services Act (MHSA), including Welfare and Institutions Code (WIC) sections 5813.5, 5830, 5840, 5847, 5891, and 5892; and Title 9 of the California Code of Regulations sections 3400 and 3410. I further certify that all expenditures are consistent with an approved plan or update and that MHSA funds will only be used for programs specified in the MHSA. Other than funds placed in a reserve in accordance with an approved plan, any funds allocated to a county which are not spent for their authorized purpose within the time period specified in WIC section 5892(h), shall revert to the state to be deposited into the fund and available for counties in future years.

I declare under penalty of perjury under the laws of this state that the foregoing and the attached update/revenue and expenditure report is true and correct to the best of my knowledge.

Jo Robinson, MFT
Local Mental Health Director (PRINT)

Ben Rosenfield
Signature Date 2/26/16

I hereby certify that for the fiscal year ended June 30, 2015, the County/City has maintained an interest-bearing local Mental Health Services (MHS) Fund (WIC 5892(f)); and that the County's/City's financial statements are audited annually by an independent auditor and the most recent audit report is dated 11/23/2015 for the fiscal year ended June 30, 2015. I further certify that for the fiscal year ended June 30, 2015, the State MHSA distributions were recorded as revenues in the local MHS Fund; that County/City MHSA expenditures and transfers out were appropriated by the Board of Supervisors and recorded in compliance with such appropriations; and that the County/City has complied with WIC section 5891(a), in that local MHS funds may not be loaned to a county general fund or any other county fund.

I declare under penalty of perjury under the laws of this state that the foregoing, and if there is a revenue and expenditure report attached, is true and correct to the best of my knowledge.

Ben Rosenfield
County Auditor Controller / City Financial Officer (PRINT)

Ben Rosenfield
Signature Date 3/4/16

¹ Welfare and Institutions Code Sections 5847(b)(9) and 5899(a)
Three-Year Program and Expenditure Plan, Annual Update, and RER Certification (07/22/2013)