1. Purpose of Policy

This document describes the process for the creation, review, approval, and promulgation of San Francisco Department of Public Health (DPH) policies and procedures (P&P). In order to make policies easily accessible to all DPH employees, these documents will be made available online (DPH Internet), organized by topic area and by the unit responsible for the policy.

2. Policy

A. Purpose of Policies/Procedures:

When conceptualizing and writing a P&P, the following should be considered. P&Ps must:

- Support DPH’s mission
- Promote efficient operations
- Ensure compliance with laws or regulations
- Reduce institutional risk
- Be clear and easy to understand

B. Reasons for Improving the Policy & Procedures System

DPH’s online Policy & Procedures Library (Library) was created in 2011. This collection of documents focuses mostly on DPH-wide policies meant to improve day-to-day operations and experiences of employees. It will allow for easy access to important guidelines and instructions for a variety of...
requirements and DPH priorities. By actively organizing these documents, DPH will ensure that the P&Ps are up-to-date and relevant.

3. Definitions

A. **Office of Policy & Planning**: DPH’s section within Central Administration responsible for the coordination of internal policy and procedures.

B. **Policy**: A set of principles designed to guide actions. (A policy document may or may not be connected to a procedure.)

C. **Policy & Procedure Detail**: The table included at the top of the Policy & Procedure Template.

D. **Policy & Procedures Library (Library)**: The online collection of documents available to all DPH staff, containing policies and procedures, clarifying a variety of different topics. All DPH-wide policies will be included in the Library.

E. **Policy & Procedure Template**: The preferred format for all P&P. Use of this template is required for all DPH-wide P&P created after September 30, 2011.

F. **Policy Owner**: The primary author or administrator of the P&P with responsibility to update the policy, as required. Will answer questions and provide guidance on the P&P.

G. **Procedure**: A series of steps to be followed, or particular actions to implement and/or perform a function and may comply with a policy.

H. **Unit**: A section within DPH (ex. Community Behavioral Health Services/CBHS).

4. Procedures

This section explains the steps to follow when writing, revising, and/or instituting a DPH P&P.

A. **Template**

P&Ps created after September 30, 2011, and meant for all DPH employees, must use the DPH Policy & Procedure Template. If the policy stands on its own, without need of Section 4 (Procedures), or Section 5 (Attachments) the unnecessary section(s) may be removed. If the P&P is not meant for all DPH employees, the author may use a different style or template established by their unit. All DPH-wide P&Ps must include the required information found in the table at the top of the template (Policy & Procedure Detail). P&Ps specific to individual units are encouraged to use the same format.
B. Required information

The following section provides instructions at the top of the first page of the Template (Policy & Procedure Detail). All DPH-wide policies that are posted online in the Library shall include this information.

i. **Policy & Procedure Title:** A short, descriptive title that will allow the intended audience to locate the policy document when searching for it in the Library.

ii. **Category:** One of the defined categories must be selected by the Policy Owner. The category will be used to organize the P&Ps within the Library.
   - **City-owned Resources:** Involving appropriate use of city-owned property, including vehicles, communication devices, computers/internet, etc.
   - **Client/Patient Services:** Applying to the delivery of services to DPH clients and/or patients.
   - **Compliance:** Relating to the processes that exist to meet legal regulations and contractual obligations.
   - **Contracts:** Describing contracts management rules and instructions.
   - **External Affairs:** Explaining how employees should approach interactions with outside parties, including the Board of Supervisors, City Attorney, media, other governmental agencies, etc.
   - **Fiscal:** Including policies from the DPH Accounting Office, purchasing guidelines, travel reimbursement for staff, etc.
   - **General Administration:** Information and instructions that do not reasonably fit into any other category.
   - **Health Commission:** Pertaining to the SF Health Commission.
   - **Information Systems:** Detailing the manner in which DPH’s IS Section maintains computer programs, data, including how electronic information is secured and protected.
   - **Personnel:** Explaining employee rights and responsibilities, including harassment prevention and reasonable accommodation. There are also collective bargaining agreements and local policies that supplement these Department-wide personnel policies.
   - **Privacy:** Outlining the Health Insurance Portability & Accountability Act (HIPAA), as well as guidance on other privacy-related issues.
   - **Workplace Safety:** Relating to occupational safety, workers compensation, violence prevention, etc.

iii. **Effective Date:** The date that the P&P is first made effective.

iv. **Last Reissue/Revision Date:** The date that the P&P has been made effective after a review and revision (if needed). P&Ps must be reviewed at least once every three years, sooner than that if there is a significant change to be addressed.
v. **DPH Unit of Origin:** The name of the unit that is responsible for the P&P on an ongoing basis.

vi. **Policy Contact:** The name and contact information of the author and/or the DPH unit responsible for creating and administering the policy.

vii. **Policy Contact Phone Number:** The phone number of the Policy Contact.

viii. **Distribution:** Please check the available box if this is a DPH-wide policy, or complete “other” box by adding one or more units if the P&P pertains to a certain unit or units within DPH. While the Library is mostly meant for policies that are DPH-wide, P&Ps that originate from units that do not have their own way to post these documents may also use the Library. Laguna Honda Hospital and San Francisco General Hospital are required to post their policies on their dedicated intranet sites.

**C. Writing a new policy & procedure**

It is important to write a new policy when there is an observed or identified need to provide detail on a new or existing rule or regulation that is important to DPH operations and employee interests. The policy may interpret a regulation or a public health or governmental-related decision made within or outside of DPH. The Policy Owner shall send the completed P&P document to the DPH Office of Policy & Planning.

The following should be taken into consideration when writing a new P&P. P&Ps should:

i. Use clear, concise, simple language. (Avoid jargon and unnecessary verbiage.)

ii. Address the rule (policy) and how to implement it (procedure).

iii. Be developed with the user in mind. Well-developed and thought-out procedures provide benefits to the end user. Complex procedures will benefit from the input of users before finalizing the P&P.

iv. Not be too technical, and should be understandable to a new employee.

v. Use a flexible outline so that revisions are simple.

vi. Spell out acronyms upon first usage.

vii. Avoid information that may become quickly outdated.

viii. Ensure that the Policy Owner (identified in each document), or a designee, is readily available to interpret policies and resolve problems.
D. Revising a policy & procedure

Existing policies and procedures must be reviewed once every three years. The Policy Owner, or someone delegated by the unit manager, is responsible to review the document in a timely manner, and send to the Office of Policy and Planning for reposting. This must be done even if no changes are made to the document. It will be reposted with the new date, so DPH employees know that it is current.

E. Policy Approval

All P&Ps to be posted in the Library shall be submitted to the Director of Policy & Planning for review and approval.

i. P&Ps Applicable Only to Individual DPH Units

Policies that are applicable only to individual DPH units require approval by the unit supervisor. If they are to be posted in the Library, the Office of Policy & Planning will review these P&Ps to ensure that the required Policies & Procedure Detail section is included and complete, and may suggest other edits, if necessary.

ii. DPH-wide P&Ps

All DPH-wide P&Ps must be submitted to the Office of Policy and Planning in draft form. The Director of Policy and Planning will present all draft DPH-wide P&Ps to the Director’s Cabinet for review and discussion. After feedback from Director’s Cabinet, the Office of Policy and Planning will contact the Policy Owner and they will together make any necessary revisions and finalize the P&P.

F. Making P&Ps accessible

All approved P&Ps that are applicable to a general DPH audience shall be included in the Library. Other P&Ps, written for certain units within DPH, may be posted online in the Library, or made available elsewhere. The Office of Policy & Planning will submit P&Ps for posting in the Library and announce new DPH-wide P&Ps in Fast Facts. The Library will include a section highlighting the newest P&Ps.

G. Training

For P&Ps that significantly alter normal operating procedures and/or are complex in nature, Policy Owners should consider creating a training plan for the employees most impacted. It is the responsibility of the Policy Owner and the supervisor of the originating unit to develop and implement training plans with stakeholders, as necessary. The training plan need not be included in the P&P.

5. Attachments

1) DPH Policy and Procedure Template
1. **Purpose of Policy**

2. **Policy**
3. Definitions

4. Procedures

5. References/Attachments